

# Royal College of Physicians and Surgeons of Canada Application for Accreditation of Self-Assessment Program CPD activities

Section 3 of the Framework of Continuing Professional Development (CPD) Options of the Maintenance of Certification program (MOC)

Self-assessment programs assess knowledge or the application of knowledge in specific areas, topics or domains. Self-assessment programs use structured formats, such as multiple-choice questions, and require participants to select the appropriate response. Participants receive feedback on the answers to provide opportunities to identify areas for improvement and future learning.

### Important information before you begin:

Self-assessment programs approved under Section 3 must be developed or co-developed by a <u>physician organization</u>, please visit our <u>website</u> or contact the Royal College to confirm before submitting an application.

A physician organization is defined by the Royal College as a not-for-profit group of health professionals with a formal governance structure, accountable to and serving, among others, its specialist physician members through: continuing professional development, provision of health care, and/or research.

### **Additional considerations:**

- MOC section 3 Assessment accredited Self-Assessment Programs are approved for a maximum of three years from the start date of the activity.
- Accreditation will not be granted retroactively.
- The organization that developed the activity is responsible for maintaining all records (including attendance records) for a 5-year period.
- The standards contained within this application must be met and supporting documentation provided in order for an educational event to be approved under Section 3 of the MOC program. The Office of Continuing Medical Education and Professional Development, University of Calgary will determine if your event meets these standards
- Refer to the Royal College CPD Accredited Standards Self-Assessment Program CPD Activities (Section 3) as you complete this application and prepare the attachments.
- The Royal College has also created a CPD activity toolkit to help developers of educational activities;
  - Needs assessment
  - Creating learning objectives
  - Educational delivery methods
  - Evaluations
  - Requirements for web-based CPD activities
  - Relationships with speakers and sponsors
  - Sample Conflict of Interest Declaration
- The Continuing Medical Education & Professional Development Office (CME & PD Office) has created helpful resources: https://cumming.ucalgary.ca/cme/accreditation



### **Application steps:**

- Keep a copy of the completed application form and supporting documents for your records.
   <u>Do not</u> send this application form to the Royal College of Physicians and Surgeons of Canada (RCPSC).
- 2. Email a copy of your completed application form and supporting documents to <a href="mailto:cme@ucalgary.ca">cme@ucalgary.ca</a>. Ensure you attach the checklist, application, and <a href="mailto:separate">separate</a> PDFs for each section of the supporting documents.
- 3. Fax the fee payment form to our confidential fax line at (403) 270-2330 or mail cheque to Office of CME & PD, TRW Building, 3280 Hospital Drive NW, Calgary AB T2M 4Z6. Applications submitted within six weeks (30 business days) of the learning event will be levied a \$250 late fee. MOC 1 applications submitted within three weeks and MOC 3 applications submitted within four weeks of the learning event will not be reviewed. Additional fee payments may apply for complex application reviews.
- 4. A summary of the application review will be emailed to the physician organization including the outcome of the assessment of the CPD activity.
- 5. If your event is approved for accreditation, the chair of the Scientific Planning Committee and the contact person will be emailed the number of accredited hours, and the CPD activity accreditation statement that must appear on all accredited CPD activity program materials and certificates of participation.
- 6. The Office of CME&PD will notify the RCPSC of your accredited event.

	Event location (insert	
	city and province):	
	Activity end date:	
	(dd/mm/yyyy)	
	ve virtual Deth in nerse	an and virtual   Asymphospace
□ Live in-person □ Li	ve virtual 🗆 Both in-perso	and virtual $\square$ Asynchronous
	Has this activity been	
	previously accredited?	□Yes □ No
	If yes, please name CPD	
□Yes □ No	Accreditor:	
by another CPD Accred	ditor?	□Yes □ No
□Yes □ No		
	or participants.	
	☐ 1 ☐ 2 ☐ 3 ☐ 4+  ☐Yes ☐ No	city and province): Activity end date: (dd/mm/yyyy)  Live in-person  Live virtual  Both in-person  1

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### Select the option that applies to your organization:

Option 1 $\square$	Option 2 $\square$
We are a <b>physician organization</b> that is planning	We are a physician organization that is co-developing
this educational event alone or in conjunction with	this educational event with a non-physician
another physician organization.	organization. We (the physician organization) have
	been prospectively involved in planning this event and
	accept accountability for its entire program.

### \*Physician Organization:

A not-for-profit group of health professionals with a formal governance structure, accountable to and serving, among others, its physician members through:

- Continuing professional development, Provision of health care; and/or, Research

This definition includes (but is not limited to) the following groups:

- Faculties of medicine
- Hospital departments or divisions
- Medical (specialty) societies

- Medical associations
- Medical academies

- Physician research organizations
- Health authorities not linked to government agencies

Types of organizations that 'Are Not' considered Physician Organizations:

- Disease-oriented patient advocacy organizations (e.g. Canadian Diabetes Association)
- Government departments or agencies (e.g. Health Canada, Public health Agency of Canada)
- Industry (e.g. pharmaceutical companies, medical device companies, etc.)
- Medical education or communications (MEC) companies (e.g. CME Inc.)
- For-profit online educators, publishing companies or simulation companies (e.g. Medscape, CAE)
- Small number of physicians working together to develop educational programming

PART A: Administrative Standards				
Name(s) of ph	ysician o	rganization(s) that developed the group learni	ing activity:	
1. Name of physician organization	o <u>n</u>	List physician organization in the following order (if applicable):  > group, section/division, institute/department, faculty, university or > group, section/division, SCN/department, zone, health authority		
requesting accreditation	on:	Name of Physician Organization:		
		Website address:  ☐ Check her if not applicable		
2. Name and of information		First Name:	Last Name:	
Chair of the Scientific Pl		Address:		
Committee	:	Email:	Telephone#:	
3. Contact information	n for	First Name:	Last Name:	
main point contact for		Address:		
participant	s:	Email:	Telephone #:	

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Date of application:	
(dd/mm/yyyy)	
Title of group learning	
activity (as it will appear on	
the certificate of	
attendance):	
Activity start date:	Activity end date:
(dd/mm/yyyy)	(dd/mm/yyyy)
Event location (insert city	
and province):	
Website Link to Registration	
(or insert 'not applicable')	
Delivery method of group	
learning activity:	☐ Live in-person ☐ Live virtual ☐ Both in-person and virtual ☐ Online self-study
How many times will this	
activity be held in a year?	
Anticipated number of	
participants:	
Do you want this event	□V□N-
posted on the Royal College	☐Yes ☐ No
(RCPSC) website?	



4.	Name and	Names of Co-developing Organizations. List in the following order:					
	contact			ion/division, institute/depa	-	• •	•
	information for	group,	sect	ion/division, SCN/departm	ent, zon	e, health	authority
	organizations	a) Name of fi	rst C	o-developing Organization	ո։		
	co-developing						
	the activity		:			-+:?	□ Vas. □ Na
	(If there are more		opin	g organization a physician			☐ Yes ☐ No
	than two co- developing	Email:			Teleph	one #:	
	physician	Website addre					
	organizations,	☐ Check here		• • • • • • • • • • • • • • • • • • • •			
	please list on separate document)	b) Name of s	econ	d Co-developing Organizat	tion:		
	Do not include	Is the co-deve	opin	g organization a physician	organiza	ation?	□Yes □ No
	sponsors as co-developers.	Email:			Teleph	one #:	
		Website addre  ☐ Check here		t applicable			
5.	The physician organi	ization agrees to	ma	intain attendance records	for 5 yea	ars.	□Yes
6. \	Was the content dev	eloped by the S	cient	cific Planning Committee?			☐ Yes ☐ No
	If no, who developed	d the content?					
7. Name and credentials of Scientific Planning Committee members (one must be a RCPS member)		How does the individual re target audience?	present	Indicate if the individual is a member of the developing or co-developing physician organization?			
Exc	ample: David Smith, N	1D, FRCPC		Example: Endocrinologist		Devel	oping, Co-developing or n/a

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#### **PART B: Educational Standards** 1. Who is the intended primary target audience of the activity? (check a maximum of six) ☐ Acute Care Point of Care Ultrasonography (POCS) ☐ Internal Medicine ☐ Addiction Medicine ☐ Interventional Radiology ☐ Adolescent and Young Adult Oncology ☐ Maternal Fetal Medicine ☐ Adolescent Medicine ☐ Medical Biochemistry ☐ Adult Cardiac Electrophysiology ☐ Medical Genetics and Genomics ☐ Adult Echocardiography ☐ Medical Microbiology ☐ Adult Hepatology ☐ Medical Oncology ☐ Adult Interventional Cardiology ☐ Neonatal Perinatal Medicine ☐ Adult Thrombosis Medicine ☐ Nephrology (adult or pediatrics) ☐ Advanced Heart Failure & Cardiac Transplantation ☐ Neurology (adult or pediatrics) ☐ Aerospace Medicine ☐ Neuropathology ☐ Anatomical Pathology (AP) □ Neuroradiology ☐ Anesthesiology (ANES) □ Neurosurgery ☐ Brachytherapy ☐ Nuclear Medicine ☐ Cardiac Surgery ☐ Obstetrics & Gynecology ☐ Cardiology (adult or pediatrics) ☐ Occupational Medicine ☐ Child and Adolescent Psychiatry ☐ Ophthalmology ☐ Child Maltreatment Pediatrics ☐ Orthopedic Surgery ☐ Clinical Immunology & Allergy (adult or pediatrics) ☐ Otolaryngology - Head and Neck Surgery ☐ Clinical Pharmacology & Toxicology ☐ Pain Medicine ☐ Clinician Educator ☐ Palliative Medicine ☐ Clinician Investigator Program ☐ Patient Safety and Quality Improvement ☐ Colorectal Surgery ☐ Pediatric Emergency Medicine ☐ Critical Care Medicine (adult or pediatrics) ☐ Pediatric Hematology/Oncology ☐ Pediatric Radiology ☐ Cytopathology ☐ Dermatology ☐ Pediatric Surgery ☐ Developmental Pediatrics ☐ Pediatric Urology ☐ Diagnostic Radiology □ Pediatrics ☐ Emergency Medical Services ☐ Physical Medicine & Rehabilitation ☐ Endocrinology & Metabolism (adult or pediatrics) ☐ Plastic Surgery ☐ Forensic Pathology ☐ Prehospital and Transplant Medicine ☐ Forensic Psychiatry □ Psychiatry ☐ Gastroenterology (adult or pediatrics) ☐ Public Health and Preventive Medicine ☐ General Internal Medicine ☐ Radiation Oncology ☐ General Pathology ☐ Respirology (adult or pediatrics) ☐ General Surgery ☐ Rheumatology (adult or pediatrics) ☐ General Surgical Oncology ☐ Sleep Disorder Medicine ☐ Geriatric Medicine ☐ Solid Organ Transplantation ☐ Geriatric Psychiatry ☐ Sport and Exercise Medicine ☐ Gynecologic Oncology ☐ Surgical Foundations ☐ Gynecologic Reproductive Endocrinology & Infertility ☐ Thoracic Surgery ☐ Hematological Pathology ☐ Transfusion Medicine ☐ Hematology ☐ Trauma General Surgery ☐ Hematopoietic Stem Cell Transplantation ☐ Urology ☐ Hyperbaric Medicine ☐ Vascular Surgery ☐ Other/General Practice/Health Care ☐ Infectious Diseases (adult or pediatrics) **Professionals**

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2. Which assessment strategies were used to identify the learning needs of the target audience? Indicate all that apply: (Summary and reference documents are required where applicable).			
a) Perceived Needs:			
Consultation with Scientific	Surveys	Questionnaire	es
Planning Committee members	Summary results attached:	Summary results	attached:
Focus Groups Summary results attached:	☐ Direct request from target au	dience. Summary re	esults attached:
Others (list):			
b) Unperceived Needs:			
Self-assessment tests Summary results attached:	☐ Direct observation of practice performance	Provincial datab	ases
☐ Chart audits	☐ Practice audits	☐ Incident reports	S
Chart-stimulated recall Interviews	Quality assurance data from clinics, PCNs, hospitals, regions	Published litera studies). List of refe	erences attached:
☐ Clinical Practice Guidelines list.  List of references attached: ☐	Performance-assessment with Standardized patients	Electronic Med	ical Record data
Others (list):			
3. Which learning needs or gap(s) in	knowledge, attitudes, skills or perf	ormance of the inten	nded target
audience did the scientific planning committee identify for this activity?			
4. How were the identified needs or learning objectives? Indicate all the second secon	_	op the overall and se	ession-specific
	nittee shared the needs assessment	results with the	□Yes □No
speakers who are responsible	e for developing the learning objec	tives.	
b) The scientific planning comm learning objectives for the sp	nittee used the needs assessment ropeakers.	esults to define the	□Yes □No
If applicable, describe other	methods:		☐ Not Applicable

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5.	Cou	urse and session Learning Obj	ectives requirements: See link How to wri	te learni	ng obj	<u>jectives</u>
	a)	Are overall course learning of If No, explain:	bjectives learner-centered and measurabl	e?		□Yes □No
	b)	Are individual session learni If No, explain:	ng objectives learner-centered and measu	rable?		□Yes □No
	c)	Are Learning objectives avai i. If No, explain:	lable to participants prior to activity start o	late?		□Yes □No
		ii. If Yes, indicate if Learning  ☐ Website ☐ Brochu ☐ Other (list):	Objectives are listed in any of the followir re/Flyer    Email/Letter	ng:		
6.	Car	MEDS Role(s) relevant to this	activity? Check all that apply:			
		Medical Expert Communicator Collaborator	☐ Leader ☐ Health Advocate		Profes Schola	sional ır
7.		es this course have modules? ere is an additional administra			# of	modules:
8.		scribe the key knowledge area estions 7 – 15 for Self-Assessment P	as or themes assessed by the self-assessme rogram)	ent progi	ram.	
9.		te the sources of information g. scientific literature and clin	selected by the planning committee to de ical practice guidelines)	velop th	e cont	ent of this activity
10	De	scribe the rationale for the se	elected to help the CPD activity meet the silected format (e.g. multiple-choice questic their current knowledge or skills in relation	ns, shor	t answ	ver questions, etc.)

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11. Describe the process that allows particip or attitudes. (e.g. through the creation of record their answers? Attach a copy of the answer sheet for knowledge, skills, clinical judgement or a	f an answer sheet and scoring or web or the assessment tool that allows partic	cased assessment tools) and cipants to demonstrate
	web based assessment tool attached ink:	
12. How will feedback be provided to partic areas requiring improvement through the		-
Choose all that apply:  ☐ pre-test attached ☐ post-test attached ☐ web based assessment tool attached	<ul><li>☐ feedback criteria tool attached</li><li>☐ answer sheet attached</li></ul>	<ul><li>□ scoring tool attached</li><li>□ other:</li></ul>
13. The program must provide participants	with references justifying the appropri	iate answer.
Choose all that apply:  ☐ sample reference of answer and referen ☐ sample reference of face to face instruct ☐ sample reference of face to face instruct ☐ sample post-activity written evaluation of	tor-group participants debrief attached tor-individual participant debrief attac	
14. Describe how the references are provide	ed to participants.	
15. How will the overall learning activity and participants?	d each individual module (if applicable	) be evaluated by

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16. If the evaluation strategy intends to measure changes in knowledge, skills or attitudescribe: [Examples might include, pre-post competency surveys or quizzes) or □	
17. If the evaluation strategy intends to measure improved health care outcomes, des	scribe: [Examples might
include practice or chart audits, quality assurance data]   Not Applicable	
PART C: Ethical Standards	
All activities accredited must comply with the National Standard for support of Accred	lited CPD Activities The
National Standard applies to all situations where financial and in-kind support is accept	
development, delivery and/or evaluation of accredited CPD activities. This includes pro	
1. Has the CPD activity been sponsored by one or more sponsors?	☐ Yes ☐ No
2. If yes, have the terms, conditions and purposes by which sponsorship is provided	
been documented in a written agreement that is signed by the CPD provider	☐ Yes ☐ No
organization and the sponsor? (for profit and non-profit sponsorship)	
☐ Sponsorship agreements are attached or if no sponsors ☐ Not	applicable
3. If sponsorship has been received, all details have been included in the	7 Voc. □ Not Amplicable
CME Budget form and attached:	☐ Yes ☐ Not Applicable
4. The SPC may consider data or advice from all sources but must ensure that decisio	n-making related to the
following CPD program elements is under its exclusive control.	
The following CPD elements are under exclusive control of the SPC:	
$\square$ the identification of the educational needs of the intended target audience	
$\ \square$ development of learning objectives; providing information to speaker who will develop	the learning objectives
☐ selection of educational methods	
$\square$ selection of speakers, moderators, facilitators, and authors	
$\square$ development and delivery of content	
$\square$ evaluation of outcomes	
If any areas were not under exclusive control of the SPC, please explain:	
5. Describe the process used to ensure content for this activity is scientifically valid,	
balanced across relevant therapeutic options.  Attach educational content	, ie. slide deck, modules.
The Planning Committee reviewed presentation materials to ensure:	
	corporation of evidence
	ppyright adherence
If any areas of review were not covered by the SPC, please explain:	

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6.	Description of therapeutic options must utilize generic names (or both generic and trade names) and not reflect exclusivity and branding.
	The Planning Committee ensured presentation standards were shared with each speaker by:
	$\square$ face to face meeting $\square$ telephone $\square$ email or letter
Ad	ditional information to describe this process:
7.	All accredited CPD activities must comply with the <u>National Standard</u> (See <u>National Standard</u> , <u>Element 2</u>
	for details for support of accredited CPD activities). If the scientific planning committee identifies that the
	content of the CPD activity does not comply with the ethical standards, which process would be followed?
	How would the issue be managed? [Examples might include changing the content of the session, removal of the session from the program] RCPSC Resource: CPD Activity Toolkit FAQs Scroll down "On conflict of
	interest"
8.	How are the scientific planning committee members', speakers, authors, moderators, facilitators' and
	or/authors' conflicts of interest declarations collected and disclosed to: [See National Standard, Element
	3 for details]
	a) the physician organization? and b) the learners attending the CPD activity?
9.	If a conflict of interest is identified, what are the scientific planning committee's methods to manage
	potential or real conflicts of interest? Please describe the plan. RCPSC Resource: <u>CPD Activity Toolkit</u> FAQs Scroll down "On conflict of interest"
	1/AQS SOLOII GOWN ON COMMICC OF INTEREST.
10	The CPD provider organization or SPC must retain overall accountability for payment of travel, lodging,
	out-of-pocket expenses, and honoraria made to members of the scientific planning committee,
	speakers, moderators, facilitators and/or authors. If the responsibility for these payments are delegated
	to a third party, describe how the CPD provider organization or SPC retains overall accountability for
	these payments. [See <u>National Standard, Element 4.4 for details</u> ] or □Not Applicable

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11.	The Scientific Planning Committee must ensure that product specific advertising, promotional materials or other branding strategies have not been included on, appear within, or be adjacent to any educational materials, activity agendas, programs or calendars of events, and/or any webpages or electronic media containing educational material. [See National Standard, Elements 5 & 6 for details]	□ Yes
12.	If incentives were provided to participants associated with an accredited CPD activity, how we incentives reviewed and approved by the physician organization? [See National Standard, Eler details] or   Not Applicable	
13.	Which strategies were used by the scientific planning committee to prevent the scheduling of unaccredited CPD activities occurring at time and locations where accredited activities were so [See National Standard, Element 7 for details]	
13	<ul> <li>a. Are there any <u>unaccredited CPD activities?</u></li> <li>If yes, I agree that they take place at times and locations that do not interfere or compete with accredited CPD activities: I agree </li> <li>If yes, I agree that unaccredited CPD activities will not be listed or included in agendas, programs, or calendars of events: I agree </li> </ul>	es  No
13	b. Are there any <u>non-CPD activities</u> (e.g. annual general meeting)?  If yes, I agree to mark them as "unaccredited" within agendas, programs, or calendars of events preliminary and final): I agree	es No
Ad	lditional comments:	
P/	ART D: CPD Accreditation Agreements	
an	e Royal College has several international CPD accreditation agreements. These agreements allo d/or other health professionals to claim or convert select Royal College MOC credits to other Cledits. Details about the specific agreements are available on the Royal College website	
	you have arranged for this CPD activity to eligible for credit within any of these systems, please ply:	check all that
	American Medical Association (AMA) PRA Category 1 Credit™	
무	European Union of Medical Specialists (UEMS)	
	Qatar Council for Healthcare Practitioners (QCHP)  Furguean Poard for Association in Cardiology (EPAC)	
牌	European Board for Accreditation in Cardiology (EBAC)  If this activity was accredited for another system, which are:	
	If this activity was accredited for another system, which one:	

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### PART E: Have you completed and attached the following? All documents are required unless "not applicable" is provided as an option. Please read carefully. ☐ Has a needs assessment been completed? Attach a summary of the completed needs assessment of all areas checked off on application in Part B, number 2. ☐ Have you attached the overall and session-specific learning objectives either separately or in the program or brochure? ☐ Does the preliminary and final program or brochure include: The activity schedule, topics, and start and end times of individual sessions? The activity learning objectives for the overall activity and individual sessions? ☐ Have you attached any other materials that will be used to promote or advertise the activity? (for example, invitations, email announcements) ☐ Have you attached the sponsorship and/or exhibitor prospectus developed to solicit sponsors/exhibitors for the activity? □ Not Applicable ☐ If sponsorship has been received for this activity, have you attached the written agreement template that is signed by the CPD provider organization and the sponsor? ☐ Not Applicable Does the activity budget show receipt and expenditure of all sources of revenue for this activity including: • A list of funding sources, including an indication of whether sponsorship was received in an educational grant or in-kind support? • A list of expenditures? The expected number of registrants? ☐ Have you attached the template for the certificate of attendance that will be provided to the participants? Is the title of the program on the certificate the same as on the application? This is the title participants will use to search in Mainport to claim their credits. All physician organizations must maintain attendance records for five years. [See Sample Certificate of Attendance for details] Do the evaluation and feedback forms include a question: • if the overall learning objectives were met, and if the session specific learning objectives were met • for participants to identify the potential impact to their practice · for participants to identify if the overall program is balanced and free from commercial or other inappropriate bias ☐ Have you attached a copy of the answer sheet for the assessment tool that allows participants to demonstrate knowledge, skills, clinical judgment or attitudes. ☐ Not Applicable ☐ Have you described how feedback will be provided to the participants for the assessment tool? ☐ Not Applicable ☐ Regardless of how the activity is funded, have you: Attached a sample conflict of interest (COI) form? Described the process for the collection, management, and disclosure of conflicts of interest? ☐ Have you provided access to the education material, i.e. slide decks, online modules? ☐ Has the Chair of Scientific Planning Committee attested that he/she agrees with the content provided in the application package?

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### **PART F: Declaration**

Date: (dd/mm/yyyy)

As the Chair of the Scientific Plan	nning Committee (or equivalent), I accept responsibility for the accuracy of the	
information provided in respons	e to the questions listed on this application, and to the best of my knowledge, I	
certify that the CMA's guidelines	s, entitled, CMA Policy: <u>Guidelines for Physicians in Interactions with Industry</u>	
(2007), and National Standard for	or Support of Accredited CPD Activities have been met in preparing for this	
event. If this event is held in Qu	ébec, we agree to comply to the Code of Ethics entitled,	
Conseil québécois de développement professionelprofessionnel continu des médecins (www.cqdpcm.ca).		
☐ I Agree By clicking	"I agree" you are agreeing to the declaration stated above	
Name of Chair:		
Signature of Chair:		

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